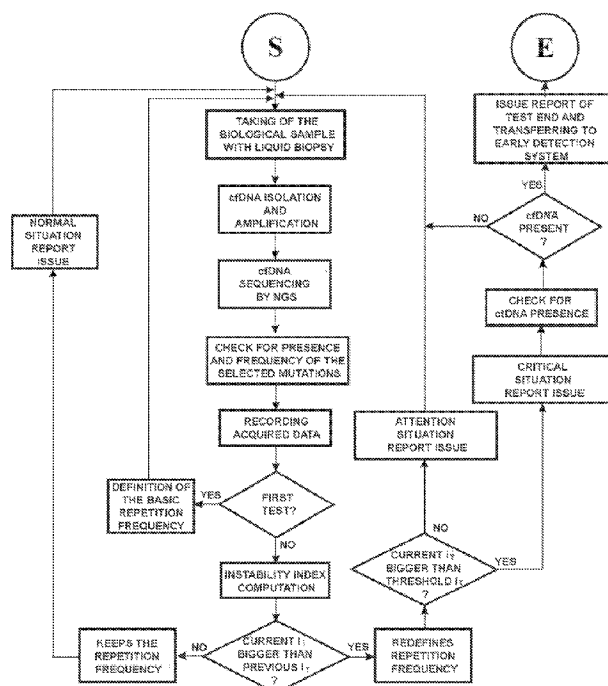




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(54) Title: METHOD FOR SEARCHING AND IDENTIFYING A GENETIC CONDITION PRODROMAL OF THE ONSET OF SOLID TUMORS



(57) Abstract: The method for searching and identifying a genetic condition prodromal of the onset of solid tumors in a healthy subject includes an evaluation cycle of a genetic stability or instability condition and at least one repetition cycle of said evaluation. The repetition cycles are carried out periodically on the subject, with the frequency depending on the result of the previous cycle. Each cycle includes the steps of: - taking a sample of biological material, isolating the DNA from the biological material, amplifying and sequencing the isolated DNA; - verifying the presence of mutations selected in a predetermined set of genes of the sample under consideration, said set of genes and said mutations being associated with the onset of solid tumors; - the predetermined set of genes including either a subset of the panel of genes or hotspots connected to one or more solid



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tumors, or the entire panel of genes connected to solid tumors; - verifying the frequency of mutations detected for each gene and for each evaluation cycle, the mutations being selected from the aforementioned selected mutations; - recording the mutations detected for each gene or group of genes and their frequency; - defining or updating a genetic instability index of the subject, either overall ( $I_T$ ) or for a single gene ( $I_G$ ), for each repetition cycle, based on the frequency of mutations detected and on the basis of the increase in the frequency of mutations; - evaluating, in each repetition cycle, the subject's entry into a prodromal genetic condition upon the onset of one or more solid tumors or groups of solid tumors on the basis of a threshold value ( $I_{TS}, J_{GS}$ ) of the genetic instability index ( $I_T, J_G$ ), defined for each single gene or group of genes, being exceeded.

## **METHOD FOR SEARCHING AND IDENTIFYING A GENETIC CONDITION PRODROMAL OF THE ONSET OF SOLID TUMORS**

### **TECHNICAL FIELD**

5           The present invention generally refers to the field of cancer prevention and more in detail to solid tumors prevention.

          In particular, the invention includes a method for searching and identifying, in an individual, a genetic condition that is prodromal of the onset of solid tumors, and the statistical prediction of the presence of  
10       significant possibility of contracting such tumors in individuals considered at risk.

### **BACKGROUND ART**

          A solid tumor is made up of one or more masses of tissue  
15       consisting of tumor cells and stroma (in turn composed of different types of cells and extracellular matrix), characterized by an abnormal and uncontrolled growth, which in some cases can cause a systemic pathology.

          The tumors are pathologies caused by genetic alterations; they  
20       develop in different steps, consecutive in time, in which a series of subsequent mutations accumulate in the genetic heritage of one or more cells.

          A genetic instability condition, associated to an increase of the genetic alterations of the subject is a marker of particular risk for tumor  
25       formation. These genetic alterations increase the risk for the carrier cells to cause tumor cells lines.

          This process has been thoroughly studied for the colorectal cancers. In the genesis of these tumors, the first mutation, that involves genes called gatekeepers/caretakers, responsible for the control of the  
30       genetic stability, causes a selective advantage in the growth of a normal

epithelial cell, allowing it to dominate the surrounding cells and become a microscopic clone.

The best known of the gatekeeper genes in the colon is the APC gene.

5        Almost all (about 80%) the colorectal tumors are characterized by a mutation of the APC gene. The small adenoma caused by this mutation grows very slowly, however, if a second mutation occurs in another gene, as for example the KRAS gene (or ATK1, etc.), this triggers a new phase of clonal growth which causes the expansion of  
10    the number of cells involved. The cells with only the APC mutation can remain in the adenoma, but their number is limited with respect to those with mutations in both (or more) genes.

      This process of successive mutations, followed by corresponding clonal expansions, continues with mutations in other genes, such as  
15    PIK3CA, SMAD4 and TP53, with high probability to generate a malignant neoplasm, which can extend through the underlying basal membrane and metastasize, first generally toward the regional lymph nodes and then to distant organs, such as liver or lungs.

      During the last decade, a thorough series of sequencing disclosed  
20    the genomic panorama of the most common forms of human cancer. Sequencing of the genome of many tumors has given information related to thousands of mutations and other genomic alterations. At present, more than ten thousand tumor genomes have been sequenced and many other tumor genomes will be characterized in the near future,  
25    with gradual reduction of the sequencing costs.

      Sequencing of the tumor genomes has allowed different “genes with driver mutations” to be classified and will allow classification of many others. A driver mutation is a mutation within a gene which provides a significant advantage in the growth.

30        Up to now, the carried out studies have allowed identification of

about 140 genes, which, when mutated, can facilitate or “drive” the oncogenesis. A typical tumor can generally contain two to eight such mutations in “driver genes”. The remaining mutations are to be considered transitory and they do not offer any advantage of cell growth.

The driver genes are often involved in the regulation of the key molecular pathways of the cell, which regulate, in different forms and modes, three main cellular processes: the cellular differentiation, survival (through pro- or anti-apoptotic signals) and maintenance. At present, one of the most pressing needs in the basic cancer research is a deep understanding of such different pathways. However, even the degree of understanding reached so far in the structure of the tumor genomes is sufficient to guide some current therapeutic choices and has determined the development of more effective approaches in the reduction of cancer morbidity and mortality.

The screening and early diagnosis programmes play an important role in improving healthy survival and reducing mortality in cancer patients. Since non invasive approaches for early diagnosis contribute to encourage the patient's cooperation, it is definitely advisable to include them in screening and prevention programmes.

The increasing knowledge of the molecular pathogenesis of the tumor pathologies and the rapid development of new techniques of molecular analysis are contributing to the development and achievement of identification and analysis of the early molecular alterations in the body fluids. Extracellular DNA (“cell-free DNA” or “cfDNA”) can be found in the serum, plasma, urine and other body fluids. Therefore, sampling and molecular analysis of the cfDNA represents a kind of “liquid biopsy” which can constitute a kind of “circulating image” of various specific pathologies.

In the blood, the apoptosis seems to be the most frequent process

that generates cfDNA, although in the cancer patients, the portion provided by necrotic processes cannot be completely neglected. An interesting study analyzing cfDNA from the plasma of 32 patients affected with stage 4 colorectal cancer has shown that in 34.4% of the patients the taken DNA had two magnitude dimensions (166bp and 332bp).

**Stroun et al.** have described that different cancer alterations can be identified in the cfDNA of a patient. Various articles published afterwards have confirmed that the cfDNA contains specific alterations correlated to the presence of tumors, such as mutations, methylations and variations of the number of copies ("copy number variations" or CNVs) of specific genes, directly referable to tumor cells. thus confirming the existence of the circulating tumor DNA (ctDNA).

Various studies, aimed at correlating the selected samples of tissue and plasma, have been carried out in order to confirm that the analysis of the circulating cfDNA can be used as diagnostic instrument.

An evaluation with NGS techniques (*next-generation sequencing*) performed on 50 tumor genes, that covers 2,800 COSMIC mutations (*Catalogue Of Somatic Mutations In Cancer* - <http://cancer.sanger.ac.uk/cosmic>) in 60 tumor tissues and 31 plasma samples from 17 patients with metastatic breast tumor has revealed a 76% concordance between tissue and plasma. From these data the authors have drawn a conclusion that plasma can be considered the biological sample adopted for the screening of tumors as a substitute of the metastatic biopsy.

The above mentioned results have been confirmed in a group of 34 patients suffering from 18 different types of tumor: the analysis has involved 46 genes and covered 6,800 COSMIC mutations in samples of tissue and plasma. Twenty seven out of thirty four patients have shown a 97% agreement between the mutations found in the tissue samples

and those found in the ctDNA. Consequently, the ctDNA-based NGS analysis could revolutionize the management of patients suffering from cancer pathologies which are potentially curable or metastatic.

## 5 TECHNICAL PROBLEM

The evaluation of the risk status constitutes an essential component of the testing procedures and genetic analysis. There is a strong likelihood that in the very near future this type of approach will be implemented in a systematic manner in the prior assessment of the cancer pathologies.

On the other hand, it is more and more frequent that “healthy” persons ask to have genetic tests for predisposition to serious pathologies or detecting a pre-pathological status. Also for this reason the physicians should introduce techniques for the definition and management of risk, and for identifying surely or very probably pre-pathological conditions, in their routine monitoring programmes.

The genetic risk refers to the likelihood of an individual carrier of a mutation associated specifically to a determined pathology - in particular as regards the present disclosure, a cancer pathology - actually developing this pathology. On the other hand, the identification of a pre-pathological condition or a condition prodromal of the onset of a pathology relates to the detection of biomolecular signals indicative of a genetic instability situation, which, due to a subsequent evolution, can cause over time, certainly or very likely, the onset of the pathology.

Given that, as already pointed out several times in the text, the carcinogenesis is influenced by both environmental factors and hereditary predisposition, the genetic background associated with a specific disorder considerably affects the definition of the risk correlated to this particular disorder.

According to Wang E. et al. an algorithm based on a series

(network) of characteristic elements can be adopted to generate a genetic model of the key components of the tumor and to connect mutating genotypes with clinical phenotypes. The use of this pattern (and others similar) illustrates the strategies for the prediction of possible tumor therapeutic targets, probability of recurrence and risk of the onset of the tumor on the basis of an individual profile of the patient's genomic sequence.

To sum up, prediction methods deriving from a model based on a network of characteristic elements can be used in the diagnosis and optimized management and prevention of the cancer pathologies programmes.

#### OBJECTS OF THE INVENTION

The main object of the invention is to provide a monitoring method capable of obtaining an evaluation, for a clinically healthy individual, of his/her entry into a genetic condition prodromal of the onset of one form of solid tumor, that is a condition that, sooner or later, almost certainly will make the individual develop the form of tumor being monitored.

#### SUMMARY OF THE INVENTION

This and other objects are obtained by the invention, which relates to a method (based on an algorithm and an associated database) for searching and identifying, in a healthy individual, a genetic condition that is prodromal of development of solid tumors, with the exception of the brain tumors. This method defines the above mentioned condition on the basis of a series of periodical evaluation cycles about the mutation frequency that involve a panel of genes chosen from those associated to the onset of the cited solid tumors.

The method includes taking of a biological sample from an individual (constituted by body fluids, such as blood, urine or spinal



fluid), isolating and amplification of the DNA and sequencing thereof. A subsequent analysis step includes evaluation of the presence of one or more mutations that involve the cited panel of genes, chosen from a list of known mutations for the monitored genes and indicative of an evolution toward the formation of neoplastic cells.

In particular, an evaluation with NGS techniques (next-generation sequencing) is performed on 50 genes, and a total of 2,800 mutations classified in the COSMIC database (*Catalogue Of Somatic Mutations In Cancer* - <http://cancer.sanger.ac.uk/cosmic>).

Every evaluation cycle detects, for every monitored gene, the existence of mutations involving it, with particular attention to mutations which occur in known hotspots (positions frequently mutated in cancer patients). In the case of a mutation, it is checked if this detected mutation has already been found in previous evaluation cycles and at which level (mutated percentage or fraction - allelic frequency). In negative case, the new mutation involving this gene is registered and an algorithm calculates a value indicative of the trend that the frequency of mutation shows over time. This value constitutes the "Key Risk Indicator" of the system. The trend is represented with a numerical value, obtained by calculating a relation between the last value of the mutation frequency and the values obtained in the previous evaluation cycles, and its tendency can be represented in a diagram to provide indications of the level of the genetic stability or instability.

Once it has been detected that the threshold level defined for the frequency of mutation is exceeded, according to the identification method of the invention, a report is issued about the entry in a genetic instability path, during which further mutations will make the individual develop a solid tumor over time, certainly or very likely.

The panels of genes and their mutations taken into consideration for every evaluation cycle can include again the whole range of 50

genes associated with the onset of solid tumors, or only some genes and hotspots associated only with one or more chosen tumors.

In particular, it is possible to monitor the individual's genetic situation, whose instability is associated with the onset of only one type  
5 of tumor or a single family of tumors. In this case, the number of genes being analysed is limited to those directly associated with that tumor or that group of tumors.

According to the invention, the panel of genes and the mutations to be analysed can be chosen on the basis of the subject's anamnesis,  
10 obtained by a historic-family survey.

When the detected frequency of mutation shows a growth trend that exceeds a predefined value, for example 10%, (value which can be updated anyway, depending on the data that will be accumulated over the years) that is an increased number of the allelic frequency  
15 (according to what has been defined above) for a given mutation (for example, the allelic frequency of the mutation of the APC gene passes from of 0.1% to 5% in a subsequent test repetition), the monitoring system increases the sensitivity related to the panel being examined (i.e. it will ask the patient to carry out the test again, this time using a  
20 different panel having a greater sensitivity and analytical specificity with respect to the test of the first level) with regard to the genes involved in the increase of the mutations, up to 100%.

The method includes a continuous update of the evaluation parameters, such as the repetition frequency of the analysis cycles of  
25 the chosen panel of genes and the sensitivity related to the genes being analysed. In particular, the repetition frequency is defined on the basis of the stability index; more precisely, higher values of the instability index correspond to a greater repetition frequency of the tests, since it is assumed that an instability situation tends naturally to grow, and  
30 causes a higher probability to have new significant mutations more

rapidly.

According to the invention, all the raw data and obtained results, related to the DNA analysed for each evaluation cycle, are recorded and processed during the subsequent cycles to improve the accuracy of evaluation as the quantity of the available data increases.

In order to make evaluation and prediction of the risk status as little invasive as possible, the biological sample taken from the individual to isolate the DNA to be analysed is constituted by a liquid biopsy (as already defined herein). In a preferred embodiment of the invention, the liquid biopsy is a peripheral blood sample.

In an embodiment of the invention, the liquid biopsy is urine.

The DNA isolated from the biological sample and used for the evaluation and prediction of the risk status is cfDNA (cell free DNA).

In an embodiment of the invention the cfDNA is isolated from plasma separated from the peripheral blood sample.

In another embodiment of the invention the cfDNA is isolated from plasma separated from the peripheral blood sample.

The method according to the invention includes also searching ctDNA (circulating tumor DNA) in the collected liquid biopsy.

When the ctDNA is detected, the method for evaluation and prediction of the risk substantially stops performing its task, since it means that at least one of mutation lines has caused the formation of neoplastic cells. At this point, the system for risk evaluation and prediction issues information aimed at transferring the control of the individual to an early detection system, such as, for example, the *SCED system – Solid Cancer Early Detection*, developed and used by the present Applicant.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The characteristics of the invention, as they become evident from

the claims, are pointed out in the following detailed description, with reference to the enclosed tables of drawings, in which:

- Fig. 1 illustrates a flow chart of the method for searching and identifying a prodromal genetic condition at the onset of solid tumors according to a general embodiment of the invention;
- Fig. 2 illustrates a list of the panel of genes involved in the evaluation of the mutations which define a genetic stability or instability condition according to the method of the invention.

## 10        DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

The present invention relates to a method for searching and identifying a genetic condition prodromal of the onset of solid tumors in a healthy individual, with the exception of brain tumors, for monitoring the individual over time in relation to possible entry in said condition, on the basis of the evolution of the trend of the individual's genetic stability.

Brain tumors are generally excluded from the approach used in the method for searching and identifying a genetic condition prodromal of the onset of solid tumors according to the invention. At present, scientific evidence is not enough to allow this approach to be used also for the brain tumors. The set of genes associated with the onset of this type of tumors has not been properly identified yet, and at present, they seem to be associated mainly with the DNA methylation state rather than with a specific mutation of its sequence. In fact, the proposed panels are not fit for evaluation of the DNA methylation state. Moreover, it is necessary to specify that, as already described by Bettgowda, C. et al. (*Bettgowda, C. et al. Detection of circulating tumor DNA in early- and late-stage human malignancies. Science translational medicine 6, 224ra224, doi:10.1126/scitranslmed.3007094 - 2014*), very likely the blood-brain barrier forms a filter that reduces considerably the presence

of cfDNA in the general circulation. Therefore, the present techniques of extraction and subsequent analysis do not allow providing data strong enough to be used for an analysis of tumor risk.

The method includes carrying out, at predefined intervals, a series of periodical evaluation cycles of the mutation frequency that involve a panel of genes chosen from those associated to the onset of the cited solid tumors. Figure 1 illustrates, by way of example, a possible workflow of the steps of the method that will be described later on. Non fundamental changes of the workflow are possible without departing from the scope of the invention.

Unless otherwise stated, it is agreed that the technical terms used in the present treatment have a meaning commonly and unambiguously known to persons of ordinary skill in the field (for example, “liquid biopsy”, “DNA isolation”, “DNA amplification”, “DNA sequencing”, “ctDNA”, “cfDNA”, “Circulating Tumor Cells”, etc.). It is also assumed that the techniques of molecular biology and genetic engineering, which are referred to and which are intended to be used for carrying out the method according to the invention (for example, “*NGS – Next Generation Sequencing*”), are standard techniques commonly used in practice and are also well known to the persons of ordinary skill in the field.

For each cycle of search and identification of a genetic condition prodromal of the onset of solid tumors, the method proposed by the invention includes taking of a biological sample from an individual, isolating of the DNA from the biological sample and then sequencing thereof, preferably with the NGS technique, after having suitably amplified the relevant fraction of DNA.

In order to make the evaluation and prediction of the risk status as little invasive as possible, the biological sample taken from the individual to isolate the DNA to analyse consists of a liquid biopsy. A

liquid biopsy is substantially formed by a liquid or semi-liquid biological material circulating in the individual and produced by him/her by secretion or excretion substantially of a body fluid.

In a preferred embodiment of the invention, the liquid biopsy is a peripheral blood sample, which is taken and treated, if necessary, in order to separate the plasma or serum, depending on the subsequent use.

In a different embodiment of the invention, the liquid biopsy is urine.

According to the invention, the DNA isolated from the biological sample and used for the evaluation and prediction of the risk status is cfDNA (cell free DNA).

The existence of circulating free DNA, cfDNA (Cell Free DNA) has been demonstrated for the first time by Mendel and Metis about 70 years ago. The above mentioned DNA derives from the necrotic cells (premature death) and/or apoptotic cells (programmed death) and is generally released by all the types of cells. About 40 years after the discovery of the cf-DNA, Stroun *et al.* have demonstrated that specific carcinogenic alterations could be identified also in the cf-DNA. Afterwards, several articles have been published that confirm the existence of the circulating tumor DNA (ctDNA) by studying specific alterations associated with tumors. The ctDNA is thus a portion of the total cfDNA and has been estimated to represent 0.01% to 1% in very early stages up to 40% in the advanced stages, as already described by Bettegowda, C. *et al.* (*Bettegowda, C. et al. Detection of circulating tumor DNA in early- and late-stage human malignancies. Science translational medicine 6, 224ra224, doi :10.1126/scitranslmed.3007094 - 2014*).

As already mentioned, in the blood, the apoptosis seems to be the most frequent process that generates cfDNA, although the portion

provided by necrotic processes in cancer patients is not totally neglectable.

The quantity and variability of cfDNA in serum and plasma seems to be considerably greater in ill patients rather than in healthy controls, especially in cases of an advanced stage tumor rather than in early stages.

It is to be considered that the quantity of the cfDNA is influenced also by physiopathological conditions such as an inflammatory process, and since the cfDNA has a very low stability (from 15 minutes up to several hours), thus the reliability and coherence of the result are not always assured. In this case, an approach based on the periodical repetition of the test offers the advantage of obtaining a lower incidence of false negatives.

A study with an NGS panel, which can evaluate 50 genes involved in cancer (see Figure 2), covering 2,800 mutations (COSMIC) in 60 solid tumors and 31 blood tumors, has analysed 17 patients with breast metastatic tumor and a 76% concordance between tissue and plasma has been estimated. From these data the authors have drawn a conclusion that plasma can be considered the biological sample adopted for the screening of tumors as a substitute of the metastatic biopsy.

In an embodiment of the invention the cfDNA is isolated from plasma separated from the peripheral blood sample taken from the individual.

In a different embodiment of the invention the cfDNA is isolated from serum separated from the peripheral blood sample taken from the individual.

According to known techniques, the cfDNA present in the plasma can be isolated using magnetic beads covered with silica or silicone resins. In both cases, the capacity of DNA (negatively charged) to bind

with silica (positively charged) in the presence of high concentrations of chaotropic salts having pH near 7.5, is exploited (Chen and Thomas, 1980; Marko et al. 1982; Boom et al. 1990). The binding of DNA to silica is induced by the dehydration induced by chaotropic salts and by the formation of hydrogen bonds which compete with weak electrostatic repulsions (Melzak *et al.* 1996). Afterwards, the exceeding salts, proteins, carbohydrates, metabolites and other contaminating substances are removed by repeated washing with alcoholic solutions. Finally, the purified DNA is eluted by a low ionic strength solution (like TE-buffer or water).

The next step of the method for evaluation and prediction of the risk according to the invention consists of the analysis of the chosen panel of genes (Figure 1), with particular attention to a chosen group of hotspots, which includes the evaluation of the presence of one or more mutations that involve the cited panel of genes, chosen from a list of known mutations for the monitored genes and indicative of an evolution toward the formation of neoplastic cells.

For example, about 10% of the patients suffering from lung tumor (non small cell type) in the United States and Europe are characterized, from the genetic point of view, by the mutations of the EGFR gene (Lynch et al 2004 ;. Paez et al 2004 ;. Pao *et al.*, 2004). These mutations take place mainly within the EGFR exons 18-21, which codify a portion of the tyrosine kinase domain of EGFR. About 90% of these mutations involving the exon 19, are deletions or in the exon 21 are SNV (like the mutation L858R) (Ladanyi and Pao 2008). These mutations increase the tyrosine kinase activity of the EGFR protein, which determines a hyper-activation of the cellular pathways that stimulate the survival of the tumor cells (Sordella et al. 2004). Regardless of the ethnic origin, mutations of the EGFR gene are more frequently found in non smoking female patients (less than 100



cigarettes during the patient's whole life) with adenocarcinoma type histology (Lynch *et al.* 2004). However, these mutations that involve the EGFR gene can be found also in other subgroups of patients with lung carcinoma, thus also in smokers. The presence of the above mentioned mutations in the cfDNA of a seemingly "healthy" patient represents an obvious alarm bell which must be necessarily followed by a monitoring and a series of thorough exams to evaluate the presence of a tumor mass.

In particular, cfDNA is evaluated with NGS techniques (next-generation sequencing) on 50 genes, a list of which is provided in figure 2, which covers currently 2,800 COSMIC mutations (Catalogue Of Somatic Mutations In Cancer).

It will be appreciated that, for the purposes of the invention, both the list of genes and the list of the mutations which are evaluated, must not be considered static, since the intensive research activity in this field as well as the available modern sequencing and analysis techniques can lead to the identification of new genes, new hotspots or new mutations involved in the carcinogenesis of one or more solid tumor forms.

Each evaluation cycle searches, for each monitored gene, the mutations involving it (see the above example of the EGFR), with particular attention to mutations that occur in known hotspots. When a significant mutation is present, this is recorded in a memory area of a computerized system which is physically appointed to carry out computational steps of the method (figure 1).

In each repetition cycle of the test, that is in each cycle after the first one, it is checked whether the detected mutation has already been detected in the previous evaluation cycles. In a negative case, the new mutation involving this gene is registered and an algorithm calculates a value indicative of the trend that the frequency of mutation shows over

time. This value constitutes the “Key Risk Indicator” of the system.

The trend is represented by a numerical value, obtained by the computation of a relation between the last frequency value of the mutation and the values obtained in the previous evaluation cycles, and its tendency can be represented in a diagram to provide indications of the level of the genetic stability or instability.

In a non-limiting embodiment of the method according to the invention, the comparison between the two checks can be summed up by an overall genetic instability index  $I_T$  according to the formula:

$$I_T =$$

Where:

number of genes on which the control is performed

It is to be noted that in this case the overall instability index  $I_T$  expresses the overall situation, without distinguishing between the variations on the specific genes.

In a similar way, it is possible to define an instability index  $I_G$  of a specific gene according to the formula:

$$I_G = \sum_{j=1}^n \frac{\Delta F_j}{n} \quad \#$$

Where number of evaluated hotspots of the gene on which the control is performed #

The panels of genes and their mutations taken into consideration for every evaluation cycle can include again the whole range of 50 genes associated with the onset of solid tumors (figure 2), or only some genes and hotspots associated only with one or more chosen tumors.

In particular, it is possible to monitor the risk of the onset of only one type of tumor, or a single family of tumors. In this case, the number of genes being analysed is limited to those directly associated with that tumor or that group of tumors.

In any case, the definition of the instability index according to the above described process can involve, depending on the prefixed search target, a single gene, a panel consisting of a set of genes associated to the onset of a specific tumor or family of tumors, or the whole panel consisting of the 50 genes (at present, or more genes, if, in future, other genes are identified) associated with the onset of solid tumors in general.

According to the invention, the panel of genes and the mutations to be analysed can be chosen on the basis of the subject's anamnesis, obtained by a historic-family survey.

When an evaluation cycle detects a frequency of mutation that expresses a growth trend higher than 10%, (value which can be updated depending on the data that will be accumulated over the years) that is an increased number of the allelic frequency for a determined mutation (for example, the allelic frequency of the mutation of the APC gene passes from 0.1% to 5% in a subsequent test repetition), the monitoring system increases the sensitivity related to the panel being examined (i.e. it will ask the patient to carry out the test again, this time using a different panel having a greater sensitivity and analytical specificity with respect to the test of the first level) with regard to the genes involved in the increase of the mutations, up to 100%.

For example, using the panels and commercial technology "Oncomine® cfDNA", extremely low detection levels are reached, equal to 0.05%. It means that the system, based on a particular chemistry ("Oncomine® TagSequencing"), different from the screening of the first level, is capable of identifying a mutation present in barely 0.05% of the analysed DNA sample.

The method includes a continuous update of the evaluation parameters, such as the repetition frequency of the analysis cycles of a chosen panel of genes and the sensitivity related to the genes being

analysed. In particular, the repetition frequency is defined on the basis of the instability index ( $I_T$  or  $I_G$ , depending on whether a panel of several genes or a single gene is analysed); more precisely, low values of the instability index correspond to a basic repetition frequency of the tests, which can be for example of one test per year. Even moderate increase of such index value can suggest an increased repetition frequency, since it is considered that an instability situation tends naturally to increase and implies a bigger probability of new significant mutations in shorter time. The exceeding of a specific threshold value  $I_{TS}$ ,  $I_{GS}$  of the instability index  $I_T$ ,  $I_G$  identifies an evolution of the sequence of mutations in a prodromal genetic condition at the onset of the tumor or group of tumors being monitored, that is in a path which will lead the individual, certainly or very likely, to develop the above mentioned tumor, or in any case, at least one of the monitored tumors. The threshold value of the instability index can be for example set to 0.1.

According to the invention, gradual increase of the repetition frequency of the tests allows best monitoring of the individual's situation and understanding when the specific mutations can occur, indicative of an oncogenesis underway.

According to the invention, all the raw data and obtained results, related to the DNA analysed for each evaluation cycle, are recorded and revised during the subsequent cycles to improve the accuracy of evaluation as the quantity of the available data increases.

According to another characteristic of the method of the invention, the sequencing of the cfDNA for the study of the somatic mutations is combined with the analysis of the germ mutations. For this purpose, according to what has been already described, the cfDNA with the Hotspot Cancer Panel (HSCP) is isolated and sequenced, which allows to study 2800 mutations in 50 genes involved in neoplastic processes with a 1% sensitivity.

Moreover, the individual's germ DNA, for example lymphocyte DNA, is isolated and sequenced, and the presence of the same mutations in the above mentioned DNA is checked. For the purposes of the invention, the individual's lymphocyte DNA can derive indifferently  
5 from the same liquid biopsy from which the cfDNA has been taken and isolated, or from another, recent or even much older biopsy, since the germ mutations present in the lymphocyte DNA form part of the individual's genetic heritage.

The lymphocyte DNA is sequenced preferably with the same  
10 reading degree of the cfDNA sequencing to obtain directly comparable results.

A mutation is thus defined somatic, if it is present in the cfDNA analysis and not in the lymphocyte DNA sequenced with the same reading degree. Thus the following sets of mutations are defined:

- 15 Set A: consisting of mutations found in the cfDNA;  
Set B: consisting of mutations found in the lymphocyte DNA analyzed by the same panel used for the cfDNA;  
Set C: consisting of somatic mutations defined as the mutations present in the cfDNA, but not in the lymphocytes.

20 When a somatic mutation is identified (that is, in the analysis, the set C is different from empty) the tissues in which such a somatic mutation has been mostly found, are evaluated with known operational techniques, by the COSMIC database.

The next step is to study if there is a higher probability to develop  
25 a tumor of these tissues, from the analysis of the germ line, using operational techniques, known also in this case. The above mentioned approach will be carried out by a customized panel, whose definition is a function of the found somatic mutation or somatic mutations, and allows to evaluate the individual's susceptibility to particular tumors on  
30 the basis of the mutated gene (or the mutated genes) in the cfDNA.

Furthermore, if the somatic mutation concerned involves the lung, colon or breast, the circulating DNA is analysed with a higher sensitivity (up to 0.1%), for example by means of commercial panels Oncomine®, and using the commercial technology Tag\_Seq®.

5        When these first analyses are completed, the evaluation is finished proposing an oncological consultation to explain and evaluate the results and to plan new tests in order to monitor the individual being examined.

10        The method according to the invention includes also searching the ctDNA (circulating tumor DNA) in the taken liquid biopsy, as an additional activity that completes the evaluation of the genetic stability and identification of the prodromal phase of the oncogenesis. Such operation can be performed only when the calculated instability index exceeds the prefixed threshold value  $I_{TS,IGS}$ , as indicated in figure 1, or  
15        also when the values of the instability index are below this threshold, as a precaution.

20        When the ctDNA is detected, the method for evaluation of the genetic stability and identification of the prodromal phase of the oncogenesis substantially ends its function, since it means that at least one of the mutation lines has caused the formation of neoplastic cells. At this point, the system for evaluation of the genetic condition gives information to transfer the control of the individual to an early detection system, ("*Early Detection*"), such as for example the "*SCED system – Solid Cancer Early Detection*", developed and used by the present  
25        Applicant.

30        Some applications will be described in the following by way of example, focused on the evaluation of the genetic stability and identification of the prodromal phase of the oncogenesis related to single solid tumors or families of solid tumors, and in particular to lung, breast and ovarian, and colorectal tumors.

### Example 1: Lung tumors

In an embodiment of the invention, the method for the evaluation of the genetic stability and identification of the prodromal phase of the  
5 oncogenesis is applied to monitoring of the genetic condition associated with the onset of the lung tumors.

The most serious risk factor for the onset of a lung tumor is represented by cigarette smoking. A clear correspondence between the amount of smoke inhaled by a smoker and the increase of the  
10 probability to contract such tumor has been widely proved and is already considered a fact.

Several studies report that the risk to contract a lung tumor is 14 times higher for smokers than non smokers (up to 20 times for heavy smokers – more than 20 cigarettes a day). The cigarette smoke is  
15 responsible for 8/9 out of every 10 lung tumors, though atmospheric pollution, family predisposition for this type of cancer, and the presence of other lung diseases may increase the likelihood of contracting a tumor.

On the basis of the quantification of his/her personal risk that  
20 specific mutations of particular genes associated with lung tumors and the number and frequency of such mutations can generate tumor cells in future, the person being monitored is offered the possibility of knowing, with adequate reliability, whether a development is detected and which evolution stage has been reached.

25 According to the present method, the definition of the prodromal state of the tumor onset in this case is connected to the mutation of 11 genes involved directly in lung tumors, in particular of 169 different hotspots. Table 1 provides a list of genes and hotspots that compose the panel, which will be evaluated.

30 An application of the method for the evaluation of the genetic

instability with regard to the panel related to lung tumors for a healthy individual is described by way of example.

10 cc of peripheral blood are taken from a 45 years old male patient; the blood is centrifuged so as to separate the plasma  
 5 (containing the circulating free DNA) from the corpuscular component (lymphocytes and erythrocytes). In this patient, 14 uL of cfDNA are extracted at a concentration of 2.36 ng/uL, starting from 4 ml of plasma. 20 ng of cfDNA are used to make what is called "NGS library" that is a set of DNA fragments which are associated to a barcode (a synthetic  
 10 DNA sequence) that defines the specimen in an unambiguous manner. On the basis of the read concentration (3390 pM), such a library is mixed (pooling) with the libraries obtained from other specimens (each of which will have a different barcode). The cfDNA is sequenced and the mutations in the panel of genes and hotspots of Table 1 (lung) are analysed;  
 15 the mutation p.G12D of the KRAS gene at 0.49% is found.

After 6 months the same analysis is repeated and the same mutation p.G12D of the KRAS gene at 1.05% is found; the instability index is calculated with the formula

$$I_c = \sum_{j=1}^n \frac{\Delta F_j}{n}$$

20 and the value 0,047 is obtained. Since the index value is below the 0.1 threshold it is recommended to repeat the test after 6 months.

Table 1

	<b>LUNG cfDNA HOTSPOTS</b>
<b>Gene</b>	<b>Amino-acid change</b>
NRAS	p.Q61L p.Q61K, p.A59T, p.G13V, p.G13D, p.G13Y, p.G13V, p.G13A, p.G13N, p.G13R, p.G13C, p.G13S, p.G12E, p.G12D, p.G12P, p.G12Y, p.G12A, p.G12V, p.G12N, p.G12R, p.G12C, p.G12S
ALK	p.R1275L, p.R1275Q, p.F1245L, p.F1245L, p.F1245C, p.F1245I, p.F1245V, p.L1196Q, p.L1196M, p.V1180L, p.F1174L, p.F1174L, p.F1174C, p.F1174S, p.F1174I, p.F1174V, p.F1174L, p.I1171N, p.I1171N, p.I1171T, p.C1156Y, p.L1152P, p.L1152R, p.T1151_L1152insT, p.G1128A
PIK3CA	p.E542K, p.E545K, p.H1047R
ROS1	p.L1951M



EGFR	p.E709K, p.E709A, p.G719C, p.G719S, p.G719A, p.K745_E746insIPVAIK, p.E746_A750delELREA, p.E746_A750delELREA, p.E746_T751A, p.E746_S752V, p.L747_E749delLRE, p.L747_A750P, p.L747_T751P, p.L747_S752delLREATS, p.L747_T751delLREAT, p.L747_P753S, p.S768I, p.V769_D770insASV, p.D770_N771insSVD, p.H773_V774insH, p.H773_V774insNPH, p.T790M, p.C797S, p.E709_T710>D, p.E709_T710>A, p.E709_T710>G, p.E709H, p.E709G, p.E709V, p.G719D, p.H835L, p.P848L, p.L858R, p.L861Q
MET	p.T1010I, p.Y1021N, p.Y1021F, p.L982_D1028del, p.L982_D1028del, X1010_splice, p.H1112Y, p.H1112L, p.H1112R, p.Y1248H, p.Y1248C, p.Y1253N, p.Y1253H, p.Y1253D, p.M1268V, p.M1268T, p.M1268I
BRAF	p.V600E, p.G469V, p.G466V, p.Y472C, p.L597V, p.G469A, p.G469L
KRAS	p.Q61H, p.Q61R, p.Q61L, p.G13D, p.G13C, p.G12V, p.G12D, p.G12A, p.G12F, p.G12C, p.G12S, p.G12R
MAP2K1	p.F53I, p.F53L, p.F53L, p.F53L, p.K57Q, p.Q56P, p.K57T, p.K57N, p.P124S, p.P124Q, p.P124L, p.E203K, p.E203V
TP53	p.R337L, p.R283P, p.R282W, p.R280I, p.C277F, p.R273H, p.R273L, p.R273P, p.R273C, p.R249S, p.R249S, p.R249M, p.R248Q, p.R248L, p.R248W, p.G245V, p.G245C, p.C242F, p.M237I, p.Y234C, p.Y220C, p.H214R, p.Y205C, p.H179R, p.C176F, p.C176Y, p.R175H, p.V173L, p.Y163C, p.A159V, p.R158L, p.V157F, p.G154V, p.T125T
ERBB2	p.A775_G776insYVMA

### Example 2: Breast and ovarian tumors

The test for evaluation of the genetic stability and identification of the prodromal phase of the oncogenesis which carries out the method according to the invention is applied in a particular way to women who undergo, or have undergone in the past, hormone replacement therapies, contraception, or ovarian stimulation.

Moreover, it can be advantageously used in other specific cases of monitoring and prevention, for example prevention program for women who carry hereditary BRCA 1|2 mutation, with high risk to develop the uterine or ovarian tumor.

The panel of genes and mutations used in this case includes 10 genes and 159 hotspot, listed in the table (see Table 2).

An application of the method for evaluation of the genetic instability with regard to the panel related to breast-ovarian tumors for a healthy individual is described by way of example.

10 cc of peripheral blood are taken from a 57 years old female patient; the blood is centrifuged so as to separate the plasma (containing the circulating free DNA) from the corpuscular component (lymphocytes and erythrocytes). In this patient, 14 uL of cfDNA are  
 5 extracted at a concentration of 1.71 ng/uL, starting from 4 ml of plasma. 20 ng of cfDNA are used to make what is called "NGS library" that is a set of DNA fragments which are associated to a barcode (a synthetic DNA sequence) that defines the specimen in an unambiguous manner. On the basis of the read concentration (3240 pM), such a library is  
 10 mixed (pooling) with the libraries obtained from other specimens (each of which will have a different barcode). The cfDNA is sequenced and the mutations in the panel of genes and hotspots of Table 2 (breast and ovaries) are analysed; the mutation p.H1047R of the PIK3CA gene at 0.57% is found.

15 After 6 months the same analysis is repeated and the mutations p.H1047R of the PIK3CA gene at 1.75 % are found and a new mutation p.R175H of the TP53 gene at 0.34% is found; the instability index is calculated with the formula

$$I_T = \sum_{i=1}^n \frac{\Delta F_i}{n}$$

20 and the value 0.152 is obtained. Since the index value is over the 0.1 threshold, it is recommended to repeat the test after 3 months.

Table 2

<b>BREAST cfDNA HOTSPOTS</b>	
<b>Gene</b>	<b>Amino-acid change</b>
SF3B1	p.K700E p.N345K, p.C420R, p.E453K, p.E542K, p.E545Q, p.E545K, p.E545A, p.E545G, p.Q546K, p.Q546R, p.Q546P, p.E726K, p.M1043V, p.M1043I, p.H1047Y, p.H1047R, p.H1047L, p.G1049R
PIK3CA	
FBXW7	p.D600Y, p.S582L
ESR1	p.E380Q, p.V392I, p.S463P, p.Y537N, p.Y537C, p.Y537S,

	p.D538G
EGFR	p.H835L, p.P848L, p.L858R, p.L861Q
KRAS	p.G13D, p.G13C, p.G12A, p.G12D, p.G12F, p.G12V, p.G12R, p.G12C, p.G12S
AKT1	p.E17K
	p.E286G, p.E286K, , p.E285K, p.R283P, p.R282G, p.R282W, p.R280I, p.R280K, p.R280T, p.G279E, p.P278R, p.P278L, p.P278A, p.P278S, p.P278T, p.C277F, p.C275Y, p.V274L, p.V274F, p.R273H, p.R273L, p.R273P, p.R273C, p.V272L, p.V272M, p.G266E, p.G266V, p.G266R, p.G262V, p.E258K, p.P250L, p.R249S, p.R249K, p.R249M, p.R248Q, p.R248L, p.R248W, p.M246V, p.G245D, p.G245V, p.G245C, p.G245S, p.G244D, p.G244V, p.G244C, p.G244S, p.C242F, p.C242Y, p.S241F, p.S240G, p.C238F, p.C238Y, p.M237I, p.Y234C, p.Y220C, p.Y220H, p.V216M, p.H214R, p.R213Q, p.R213L, p.V197M, p.I195T, p.L194R, p.H193R, p.H193Y, p.P191del, p.P190L, p.P177_C182del, p.H179R, p.H179L, p.H179Y, p.C176F, p.C176Y, p.R175H, p.R175L, p.R175C, p.V173L, p.V173M, p.V172F, p.R158H, p.R158L, p.V157F, p.R156P, p.G154V, p.P152L, p.P151H, p.P151S, p.P151T, p.L145P, p.C141Y, p.C141R, p.A138V, p.C135W, p.C135F, p.C135Y, p.K132R, p.K132E
TP53	
ERBB2	p.L755M, p.L755S
	p.R103G, p.V104M, p.V104M, p.V104M, p.V104L, p.V104L, p.V104L, p.G284R, p.G284R, p.G284R, p.G284R, p.D297Y, p.D297Y, p.T355I, p.T355I, p.E928G
ERBB3	

### Example 3: Colon and rectal tumors

The evaluation of the genetic stability and identification of the prodromal phase of the oncogenesis related to the category of colon and rectal tumors includes the periodical analysis of 14 genes and 246 hotspots, as specified in Table 3, which lists all the genes currently involved with the respective hotspots.

The neoplasia that involves the colorectal system often develops as the evolution of a benign lesion, such as adenomatous polyposis, in the intestinal mucous membrane.

The formation of neoplasia can be fostered by some risk factors, like obesity or a diet rich in calories and fats and low in fiber, or genetic factors, for example, a family history of the pathology. Moreover, the age, chronic intestinal inflammatory pathologies and medical history of polyps can likewise contribute and increase the probability of the onset

of the tumor.

The time it takes for a benign neoplasm to become malignant is very often long (7 to 15 years), and such evolution can be advantageously followed with the application of periodical tests and the consequent evaluation of the risk status provided by the method according to the invention.

An application of the method for evaluation of the genetic instability with regard to the panel related to colorectal tumors for a healthy individual is described by way of example.

10 cc of peripheral blood are taken from a 65 years old male patient; the blood is centrifuged so as to separate the plasma (containing the circulating free DNA) from the corpuscular component (lymphocytes and erythrocytes). In this patient, 14 uL of cfDNA are extracted at a concentration of 1.49 ng/uL, starting from 4 ml of plasma. 20 ng of cfDNA are used to make what is called "NGS library" that is a set of DNA fragments which are associated to a barcode (a synthetic DNA sequence) that defines the specimen in an unambiguous manner. On the basis of the read concentration (8130 pM), such a library is mixed (pooling) with the libraries obtained from other specimens (each of which will have a different barcode). The cfDNA is sequenced and the mutations in the panel of genes and hotspots of Table 1 (lung) are analysed; a mutation p.R1450Ter of the APC gene at 0.15% is found.

After 6 months the same analysis is repeated and the same mutation p.R1450\* of the APC gene at 1.05% is found; the instability index is calculated with the formula

$$I_g = \sum_{j=1}^n \frac{\Delta F_j}{n}$$

and the value 0.026 is obtained. Since the index value is below the 0.1 threshold, it is recommended to repeat the test after 6 months.

Table 3

	<b>COLON cfDNA HOTSPOTS</b>
<b>Gene</b>	<b>Amino-acid change</b>
NRAS	p.Q61L, p.Q61R, p.Q61K, p.G13V, p.G13V, p.G13A, p.G13D, p.G13Y, p.G13N, p.G13S, p.G13R, p.G13C, p.G12E, p.G12V, p.G12D, p.G12A, p.G12P, p.G12Y, p.G12N, p.G12S, p.G12C, p.G12R
CTNNB1	p.S33Y, p.G34V, p.T41A, p.T41I, p.S45P, p.S45F
PIK3CA	p.E542K, p.E545Q, p.E545K, p.E545A, p.E545G, p.Q546K, p.Q546R, p.Q546P, p.M1043V, p.M1043I, p.H1047Y, p.H1047R, p.H1047L, p.G1049R
FBXW7	p.R689W, p.D600Y, p.S582L, p.W526R, p.R505C, p.R479Q, p.R465H, p.R465C
APC	p.R805Ter, p.R876Ter, p.Y935Ter, p.R1114Ter, p.S1234fs, p.Q1291Ter, p.Q1294Ter, p.Q1303Ter, p.E1306Ter, p.I1307fs, p.E1309fs, p.E1309fs, p.E1309Ter, p.E1309fs, p.E1309fs, p.G1312Ter, p.E1353Ter, p.P1361fs, p.Q1367Ter, p.P1372fs, p.P1373fs, p.Q1378Ter, p.E1379Ter, p.Q1406Ter, p.E1408Ter, p.S1411fs, p.R1450Ter, p.S1465fs, p.E1464fs, p.S1465fs, p.L1488fs, p.F1491fs, p.T1493fs, p.T1556fs, p.E1577Ter
EGFR	p.R451C, p.S464L, p.G465R, p.G465R, p.G465R, p.G465E, p.K467T, p.I491M, p.S492R, p.S492R
BRAF	p.V600E, p.L597V, p.D594G
KRAS	p.A146T, p.Q61H, p.Q61R, p.Q61L, p.G13D, p.G13C, p.G12A, p.G12D, p.G12V, p.G12F, p.G12R, p.G12C, p.G12S
AKT1	p.E17K
MAP2K1	p.F53I, p.F53L, p.F53C, p.F53L, p.Q56P, p.K57Q, p.K57T, p.K57N, p.E203K, p.E203V
TP53	p.E286G, p.E286K, p.E285K, p.R283P, p.R282W, p.R282G, p.R280I, p.R280K, p.R280T, p.G279E, p.P278R, p.P278L, p.P278A, p.P278S, p.P278T, p.C277F, p.C275Y, p.V274L, p.V274F, p.R273H, p.R273L, p.R273P, p.R273C, p.V272L, p.V272M, p.G266E, p.G266V, p.G266R, p.G262V, p.E258K, p.P250L, p.R249S, p.R249K, p.R249M, p.R248Q, p.R248L, p.R248W, p.M246V, p.G245D, p.G245V, p.G245S, p.G245C, p.G244D, p.G244V, p.G244C, p.G244S, p.C242F, p.C242Y, p.S241F, p.S240G, p.C238F, p.C238Y, p.M237I, p.Y234C, p.Y220C, p.Y220H, p.V216M, p.H214R, p.R213Q, p.R213L, p.V197M, p.I195T, p.L194R, p.H193R, p.H193Y, p.P191del, p.P190L, p.P177_C182del, p.H179R, p.H179L, p.H179Y, p.C176F, p.C176Y, p.R175H, p.R175L, p.R175C, p.V173L, p.V173M, p.V172F, p.R158L, p.R158H, p.V157F, p.R156P, p.G154V, p.P152L, p.P151H, p.P151S, p.P151T, p.L145P, p.C141Y, p.C141R, p.A138V, p.C135W, p.C135F, p.C135Y, p.K132R, p.K132E
ERBB2	p.S310F, p.S310Y, p.L755M, p.L755S, p.E770_A771insAYVM, p.G776V, p.V777L, p.V842I, p.R896C
SMAD4	p.A118V, p.E330A, p.D351G, p.P356L, p.R361C, p.R361H, p.G386D, p.G510V
GNAS	p.R201C, p.R201S, p.R201H, p.R201L, p.Q227R

It is understood that what above has been described as a pure not limiting example. Therefore, possible changes and variants of the invention are considered within the protective scope granted to the present method, as described above and claimed below.

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### CLAIMS

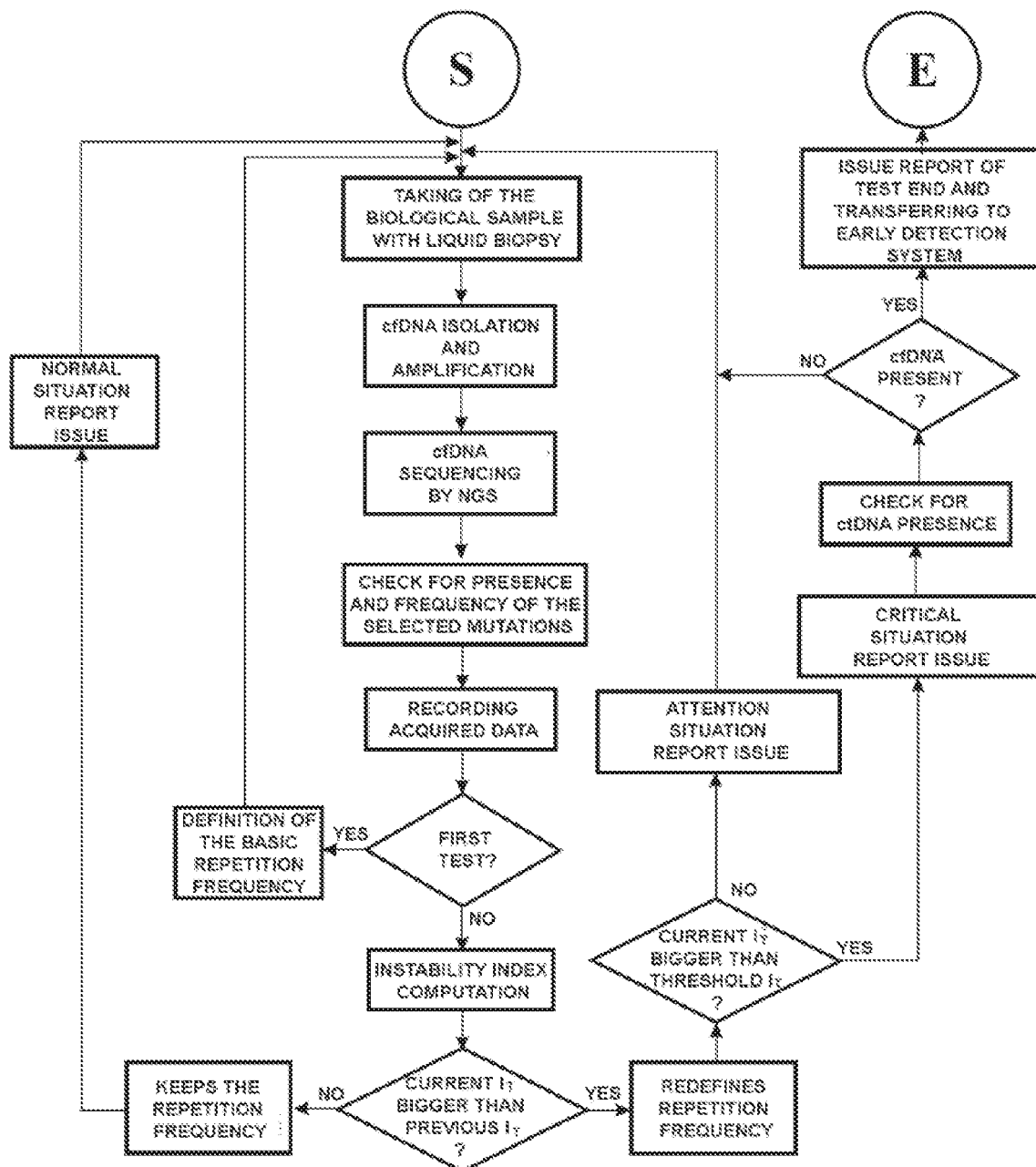
1. A method for searching and identifying a genetic condition prodromal of the onset of solid tumors in a healthy subject, characterized by including an evaluation cycle for the evaluation of genetic stability or instability condition and at least one repetition cycle of such evaluation, said repetition cycles being periodically performed on the subject, with each cycle comprising the steps of:
  - taking a sample of biological material of the subject, isolating DNA from the biological material, amplifying and sequencing the isolated DNA;
  - verifying the presence of mutations selected in a predetermined set of genes of the sample under consideration, said set of genes and said mutations being associated with the onset of solid tumors;
  - the predetermined set of genes including either a subset of the panel of genes or hotspots connected to one or more solid tumors, or the entire panel of genes connected to solid tumors;
  - verifying the frequency of mutations detected for each gene and for each evaluation cycle, the mutations being chosen from the aforementioned selected mutations;
  - recording the mutations detected for each gene or group of genes and their frequency;
  - defining or updating a genetic instability index of the subject, either overall ( $I_T$ ) or for a single gene ( $I_G$ ), for each repetition cycle, based on the frequency of mutations detected and on the basis of the increase in the frequency of mutations;
  - evaluating, in each repetition cycle, the subject's entry into a genetic condition prodromal of the onset of one or more solid tumors or groups of solid tumors on the basis of a threshold value ( $I_{TS}, I_{GS}$ ) of said genetic instability index ( $I_T, I_G$ ), defined for

- each single gene or group of genes, being exceeded.
2. The method of claim 1, wherein said genetic instability index ( $I_T, I_G$ ) is also defined on the basis of the increase in the frequency of mutations with respect to one or more previous evaluation cycles.
- 5 3. The method of claim 1, wherein said overall genetic instability index ( $I_T$ ) is defined as the summation of the relationships between a value ( $\Delta F_k$ ) responsive to the increase in the observed mutations for each gene and the number of genes evaluated in consideration of the whole group of monitored genes.
- 10 4. The method of claim 1, wherein said index of genetic instability for single gene ( $I_G$ ) is defined as the summation of the relationships between a value ( $\Delta F_i$ ) responsive to the increase in the observed mutations for each hotspot and the number of hotspots evaluated, in consideration of the whole group of monitored hotspots.
- 15 5. The method of claim 1, wherein the biological sample consists of a liquid biopsy, and the phase of verification of the presence of mutations in the predetermined set of genes is performed on a DNA sample isolated from said liquid biopsy and subsequently amplified and sequenced.
- 20 6. The method of claim 5, wherein the liquid biopsy is peripheral blood.
7. The method of claim 5, wherein the liquid biopsy is urine or spinal fluid.
8. A method according to one of the claims 5 to 7, wherein a fraction of cfDNA is sought in the DNA sample being analyzed and wherein  
25 the presence of mutations is verified in said cfDNA fraction.
9. A method according to one of the claims 5 to 7, wherein the ctDNA isolated from the liquid biopsy is also sought in the DNA sample being analyzed.
- 30 10. A method of claim 9, wherein, following the identification of

circulating ctDNA, addressing information is sent to an early detection system ("*Early Detection*").

11. The method of claim 9, wherein, following the identification of ctDNA in said DNA sample being analyzed, the presence of CTC ("*Circulating Tumor Cells*") is sought in said liquid biopsy.
12. The method of claim 1, wherein the predetermined set of genes and selected mutations are defined in view of the subject's anamnesis.
13. The method of claim 1, wherein the predetermined set of genes and selected mutations are defined in view of their connection to particular types of tumor.
14. A method of claim 1, wherein the repetition period is recalculated after each repetition cycle according to a value of said instability index ( $I_T, I_G$ ) calculated in the current cycle and a value of the same as calculated in one or more previous cycles.
15. A method of claim 1, wherein a greater analysis sensitivity related to the monitored gene or panel of genes is set in each repetition cycle, following an increase in the calculated value for said instability index ( $I_T, I_G$ ) with respect to the value of the same index ( $I_T, I_G$ ) calculated in one or more previous cycles.
16. The method of claim 1, wherein, in each of said evaluation and repetition cycles, the germ DNA is also isolated and sequenced, and only the mutations present in the cfDNA and not present in said germ DNA are considered for the subsequent calculation of the instability index.
17. The method according to claim 16, wherein said germ DNA is sequenced with the same reading degree of the sequencing of the cited cfDNA.
18. The method of claim 16, wherein said germ DNA derives from the same liquid biopsy from which the cited cfDNA has been taken.
19. A system for searching and identifying a genetic condition

prodromal of the onset of solid tumors in a healthy subject,  
comprising a set of instructions of computer program aimed at  
carrying out an evaluation cycle of a genetic stability or instability  
condition and at least one repetition cycle of said evaluation defined  
5 according to claim 1.



ABL1	AKT1	ALK	APC	ATM	BRAF	CDH1	CDKN2A	CSF1R	CTNNB1
EGFR	ERBB2	ERBB4	EZH2	FBXW7	FGFR1	FGFR2	FGFR3	FLT3	GNA11
GNAS	GNAQ	HNF1A	HRAS	IDH1	JAK2	JAK3	IDH2	KDR	KIT
KRAS	MET	MLH1	MPL	NOTCH1	NPM1	NRAS	PDGFRA	PIK3CA	PTEN
PTPN11	RB1	RET	SMAD4	SMARCB1	SMO	SRC	STK11	TP53	VHL

Fig. 2

# INTERNATIONAL SEARCH REPORT

International application No

PCT/IB2017/054231

## A. CLASSIFICATION OF SUBJECT MATTER

INV. C12Q1/68 G06F19/10  
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C12Q G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data, EMBASE, BIOSIS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2013/143747 A1 (GUTIN ALEXANDER [US] ET AL) 6 June 2013 (2013-06-06)	1-7, 12-15
Y	full document, in particular examples and claims	8-11, 16-18
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X	US 2016/102358 A1 (XU JIANFENG [US] ET AL) 14 April 2016 (2016-04-14)	1-6,13, 19
Y	whole document, in particular abstract, paragraph 57 and claims	8-11, 16-18
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Y	US 2016/130648 A1 (ALBITAR MAHER [US]) 12 May 2016 (2016-05-12)	8-11, 16-18
	whole document, in particular claims and examples	
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	-/-	

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

3 January 2018

Date of mailing of the international search report

15/01/2018

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# INTERNATIONAL SEARCH REPORT

International application No

PCT/IB2017/054231

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

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Y	<p>JEFFREY GAGAN ET AL: "Next-generation sequencing to guide cancer therapy", GENOME MEDICINE, vol. 7, no. 1, 29 July 2015 (2015-07-29), XP055421971, DOI: 10.1186/s13073-015-0203-x cited in the application whole document, in particular abstracts ; figure 1 ; paragraphs "choice of clinical sample " and "NGS utility"; page 83, column 1, paragraphs 2 and 3</p> <p>-----</p>	8-11, 16-18
Y	<p>DE MATTOS-ARRUDA LETICIA ET AL: "Cell-free circulating tumour DNA as a liquid biopsy in breast cancer", MOLECULAR ONCOLOGY, ELSEVIER, AMSTERDAM, NL, vol. 10, no. 3, 17 December 2015 (2015-12-17), pages 464-474, XP029432258, ISSN: 1574-7891, DOI: 10.1016/J.MOLONC.2015.12.001 cited in the application Whole document, in particular abstract; Figure 2; page 466, column 2, paragraph 1 ; concluding remarks</p> <p>-----</p>	8-11, 16-18
Y	<p>MARK SAUSEN ET AL: "Clinical implications of genomic alterations in the tumour and circulation of pancreatic cancer patients", NATURE COMMUNICATIONS, vol. 6, no. 7686, 7 July 2015 (2015-07-07) , pages 1-6, XP055326405, DOI: 10.1038/ncomms8686 cited in the application Whole document, in particular abstract; figure 1 and discussion</p> <p>-----</p>	8-11, 16-18
E	<p>WO 2017/181146 A1 (GUARDANT HEALTH INC [US]) 19 October 2017 (2017-10-19) the whole document</p> <p>-----</p>	1-19



# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/IB2017/054231

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